



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Patent Application of: Vipul Bansal, et al.

Serial No.: 09/667,169

Examiner:

Filed: September 21, 2000

Group Art: 2163

For: AGENT ENABLED DYNAMIC PARTICIPATION IN MULTIPLE  
SIMULTANEOUS ONLINE AUCTIONS

Assistant Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts of Application
3. Submission of Declaration
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

on November 28, 2000

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920000235US1



#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Vipul Garg, et al.

Serial No.: 09/667,169

Filed: September 21, 2000

Docket No. JP920000235US1

Group Art Unit: 2163

Dated: November 28, 2000

For: AGENT ENABLED DYNAMIC PARTICIPATION IN MULTIPLE SIMULTANEOUS  
ONLINE AUCTIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

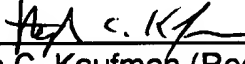
**SUBMISSION OF DECLARATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants  
referencing application Serial No. 09/667,169 as originally filed on September 21,  
2000. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the  
surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By   
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/667,169	09/21/2000	Vipul Bansal	JP920000235US1

IBM Corporation  
Intellectual Property Law Dept  
P O Box 218  
Yorktown Heights, NY 10598



FORMALITIES LETTER



\*OC000000005523379\*

Date Mailed: 11/01/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE